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March 20, 2002

Commissioner for Patents
Washington, D.C. 20231

Re: Inventors: Harold R. Garner, Monica M. Horvath, John W. Fondon, III and Alexander (NMI)
Pertsemlidis
Patent Application Entitled: PREDICTION OF DISEASE-CAUSING ALLELES FROM
SEQUENCE CONTEXT
Serial No.: 09/998,904
Filed: November 30, 2001
Our File No.: 119929-1037

Dear Sir:

Enclosed for filing in connection with the above-referenced patent application are the following documents:

1. Information Disclosure Statement (3 pp.);
2. Form PTO 1449 with one (1) reference; and
3. Return postcard.

Please file the above-referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning any of the above, please call the undersigned at your convenience. Otherwise, please accept the enclosed.

Respectfully submitted,
Gardere Wynne Sewell LLP

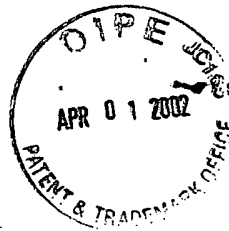


Edwin S. Flores
Registration No. 38,453

ESF/llg
Enclosures

GARDERE WYNNE SEWELL LLP

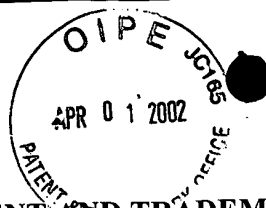
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#3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Harold R. Garner, Monica M. Horvath, John W. Fondon, III and
Alexander (NMI) Pertsemlidis

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APR 03 2002

TECH CENTER 1600/2900

Serial No.: 09/998,904

Filing Date: November 30, 2001

Examiner: NOT YET KNOWN

Group No.: 1645

For: PREDICTION OF DISEASE-CAUSING ALLELES FROM SEQUENCE
CONTEXT

#3
Plunkett
7/25/02

CERTIFICATE OF MAILING

Date of Deposit: March 20, 2002

I hereby certify that the papers listed below and attached hereto are being deposited with the United States Postal Service via First Class Mail, with sufficient postage, under 37 C.F.R. § 1.8 on the date indicated above and addressed to: Commissioner for Patents, Washington, DC 20231.

Linda L. Gibson

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO-1449, submitted herewith are copies of the indicated references which applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any

manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE

INFORMATION DISCLOSURE STATEMENT

- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in §1.491 in an international application.
- ☒ This Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.
- ☐ This Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

- ☐ a statement specified in 37 CFR § 1.97(e); or
- ☐ a fee set forth in 37 CFR § 1.17(p)
- ☐ This Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and
2. a fee set forth in 37 CFR § 1.17(p)

STATEMENT FOR INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(e)

(Only if Required Above)

I, the person(s) signing below hereby state that:

- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(1); or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(2).

FEE PAYMENT

- ☐ attached is a check in the amount of \$ _____
- ☐ charge Deposit Account No. 07-0153 in the amount of \$ _____

Applicants believe no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Respectfully submitted,
GARDERE WYNNE SEWELL LLP



Edwin S. Flores
Registration No. 38,453
Attorneys for Applicants

Dated: March 20, 2002

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